What's in a Name (Authority Record)?

A Presentation by Ian Fairclough for the VLAPAF Conference
Williamsburg, Virginia
May 1, 2016

Some Things About MARC Codes

- This presentation is not a "how to catalog" class!
- But anyone in a library might someday be required to "read" a record.
- MARC codes can help with reading.
- It's thanks (in part) to the coding that your online system displays the record the way it does.

Tags

- Three-digit codes at the left of the display of a MARC field
- Example: 100
- MARC tag for a personal name Authorized Access Point (AAP) - formerly "heading"
- 100 1 Heilbron, Rose, ‡d 1914-2005

Indicators

- Zero, one or two digits, to the right of the tag, that "indicate" something about the field.
- Example: 1001 (which might be displayed as: 100 1)
- MARC indicator for field 100, personal name
- Indicating that the name is displayed in "surname, forename" format.
- MARC indicator for a personal name AAP:
- 100 1 Heilbron, Rose, ‡d 1914-2005

Subfields

Each subfield is prefaced by a two-character subfield code consisting of:

- The subfield delimiter (displayed as ‡ \$ ¢ etc.)
- An alphanumeric character

Example: 1001 \$d MARC subfield code for date information

100 1 Heilbron, Rose, **†d** 1914-2005

How Can I Find Out about MARC Codes?

- Information is publicly available via the Library of Congress web site (http://www.loc.gov)
- Click on "Especially for Librarians" and select "MARC 21 Documentation"
- Or for authority data, go directly to: https://www.loc.gov/marc/authority/
- And for bibliographic data: https://www.loc.gov/marc/bibliographic/

How Can I Find Out about MARC Codes?



MARC 21 Format for

AUTHORITY DATA

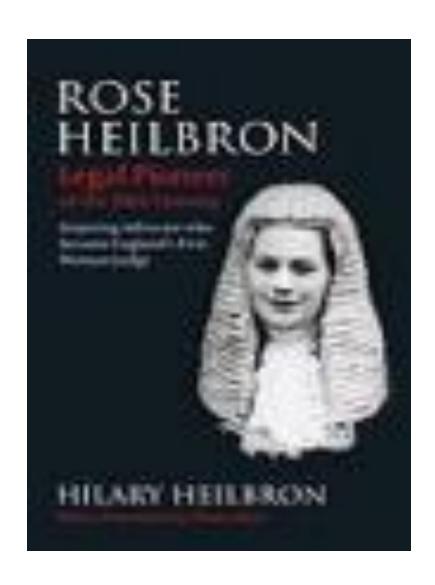
Library of Congress
Network Development and MARC Standards Office

Rose is Rosie

Dame Rose Heilbron DBE QC



Biography by Rose's daughter Hilary



Rose Heilbron processing from Westminster Abbey to Parliament in October 1950



Authorized Access Point (formerly "Heading")

100 1 Heilbron, Rose, ‡d 1914-2005

- This AAP is used in the bibliographic record in field 600.
- AAPs are always tagged 1XX in authority records ("X" stands for a digit)
- AAPs can be tagged 1XX, 6XX, 7XX or 8XX in bibliographic records

Variant Access Point (formerly "See Reference")

400 1 Heilbron, Rosie, ‡d 1914-2005

- VAPs are always tagged 4XX in authority records
- VAPs should <u>not be present</u> in bibliographic records
- Some library systems will automatically change a VAP to the corresponding AAP

How do we know about Rose?

100 1 Heilbron, Rose, ‡d 1914-2005

670 Rose Heilbron: the story of England's first woman Queen's Counsel and judge, 2012: ‡b t.p. (Rose Heilbron) p. [1] (b. Liverpool, 19 Aug. 1914) p. 356 (d. 8 Dec. 2005)

Field 670 "Source Data Found" is where to put all the info you find to support NAR creation

How do we know about Rosie?

400 1 Heilbron, Rosie, ‡d 1914-2005

670 Rose Heilbron, 2012: \pm b title page (Rose Heilbron; England's first woman Queen's Counsel and judge) page 1, et cetera (born in Liverpool, England, on August 19, 1914; died December 8, 2005; Rose Heilbron QC; Dame Rose Heilbron; Rosie Heilbron; LLM, Liverpool University, May 1937; English barrister; one of first two women Queen's Counsel in 1949; first woman judge in England in 1956 when she became Recorder of Burnley; second female High Court Judge; defence advocate)

What else do we know about Dame Rose?

670 Wikipedia, Dec. 17, 2012: **†b** (Dame Rose) Heilbron DBE QC ... her career included many 'firsts' for a woman - she was the first woman to win a scholarship to Gray's Inn, the first woman to be appointed King's Counsel in England, the first to lead in a murder case, the first woman Recorder, the first woman judge to sit at the Old Bailey and the first woman Treasurer of Gray's Inn)

Some other features of Name Authority Records

- Fields 046 and 37X contain "parsed" data
- You can interpret the data with information from the MARC21 Format for Authority Data
- These fields are optional
- They will not be found in all NARs
- Created in anticipation of RDA Resource Description and Access
- Not exclusively associated with RDA

Parsing the Data

```
046 \pm f 19140819 \pm g 20051208
370 Liverpool, England ‡c England
372 Law #2 lcsh
374 Lawyers ‡a Judges ‡2 lcsh
374 Judge
374 Lawyer
375 female
377 eng
```

Interpreting the Data

MARC 21 Format for Authority Data

https://www.loc.gov/marc/authority/

- 046 Special Coded Dates (R)
- (R)=repeatable; (NR)=not repeatable
- Subfield Codes
- \$f Birth date (NR)
- \$g Death date (NR)

Reading the Data

```
046 ‡f 19140819 ‡g 20051208
$f - Birth date (NR)
$g - Death date (NR)
```

- ‡ and \$ both represent the <u>subfield delimiter</u>
 (represented variously by different characters)
- The subfield delimiter together with the following character constitute the <u>subfield</u> <u>code</u>

Interpreting the Data

MARC 21 Format for Authority Data

https://www.loc.gov/marc/authority/

370 - Associated Place (R)

•••

- Subfield Codes
- \$a Place of birth (NR)
- \$b Place of death (NR)
- \$c Associated country (R)
- \$e Place of residence/headquarters (R)
- \$f Other associated place (R)

Reading the Data

370 ‡a Liverpool, England ‡c England

\$a - Place of birth (NR)

\$c - Associated country (R)

Interpreting the Data

MARC 21 Format for Authority Data https://www.loc.gov/marc/authority/

372 - Field of Activity (R)

• • •

- Subfield Codes
- \$a Field of activity (R)
- \$2 Source of term (NR)

Reading the Data

```
372 ‡a Law ‡2 lcsh
```

\$a - Field of activity (R)

\$2 - Source of term (NR)

Interpreting the Data

MARC 21 Format for Authority Data https://www.loc.gov/marc/authority/

374 - Occupation (R)

•••

- Subfield Codes
- \$a Occupation (R)
- \$2 Source of term (NR)

Reading the Data

```
374 ‡a Lawyers ‡a Judges ‡2 lcsh
```

- \$a Occupation (R)
- \$2 Source of term (NR)

Reading the Data

Additional 374 fields:

- 374 Judge
- 374 Lawyer
- \$a Occupation (R)
- \$2 Source of term (NR)
- Note the differences! Here's the first 374:
- 374 ‡a Lawyers ‡a Judges ‡2 lcsh

Rose is Rosie

END

Who is Jean Plaidy?

A case of multiple identities





Wikipedia Biography

Eleanor Hibbert (1 September 1906 – 19 January 1993) was an English author who combined imagination with facts to bring history alive through novels of fiction and romance. She was a prolific writer who published several books a year in different literary genres, each genre under a different pen name:

Wikipedia Biography (cont.)

- <u>Jean Plaidy</u> for fictionalized history of European royalty
- Victoria Holt for gothic romances
- <u>Philippa Carr</u> for a multi-generational <u>family</u> <u>saga</u>
- light romances, crime novels, murder mysteries and thrillers under the names <u>Eleanor Burford</u>, <u>Elbur Ford</u>, <u>Kathleen</u> <u>Kellow</u>, <u>Anna Percival</u>, and <u>Ellalice Tate</u>.

Multiple Bibliographic Identities

When an author writes using one or more pseudonyms—

How can we tell?

Do we use "see" references (now called Variant Access Points)?

Or do we use individual headings (Authorized Access Points) for each name?

What You Might Expect in a Name Authority Record

100 1	Hibbert, Eleanor, ‡d 1906-1993
400 1	Plaidy, Jean, ‡d 1906-1993
400 1	Carr, Philippa, ‡d 1906-1993
400 1	Holt, Victoria, ‡d 1906-1993
400 1	Tate, Ellalice, ‡d 1906-1993
400 1	Kellow, Kathleen, ‡d 1906-1993

What Actually Is

100 1	Hibbert, Eleanor, ‡d 1906-1993
100 1	Plaidy, Jean, ‡d 1906-1993
100 1	Carr, Philippa, ‡d 1906-1993
100 1	Holt, Victoria, ‡d 1906-1993
100 1	Tate, Ellalice, ‡d 1906-1993
100 1	Kellow, Kathleen, ‡d 1906-1993

Multiple Bibliographic Identities

- Only <u>one</u> authorized access point (AAP) can be in a name authority record (NAR)
- Each "identity" has its own AAP
- Therefore, each identity requires its own NAR
- Related NARs are connected using field 500
- In cases of two or more identities, field 663 is used to explain the situation to the catalog user

NAR for Jean Plaidy

```
1001 Plaidy, Jean, ‡d 1906-1993
5001 Hibbert, Eleanor, ‡d 1906-1993 ‡w nnnc
5001 Ford, Elbur, ‡d 1906-1993 ‡w nnnc
5001 Kellow, Kathleen, ‡d 1906-1993 ‡w nnnc
5001 Tate, Ellalice, ‡d 1906-1993 ‡w nnnc
5001 Holt, Victoria, ‡d 1906-1993 ‡w nnnc
5001 Carr, Philippa, ‡d 1906-1993 ‡w nnnc
```

NAR for Jean Plaidy (cont.)

```
1001 Plaidy, Jean, ‡d 1906-1993
663 For works of this author entered under other
  names, search also under
+b Carr, Philippa, 1906-1993;
+b Ford, Elbur, 1906-1993;
+b Holt, Victoria, 1906-1993;
+b Kellow, Kathleen, 1906-1993;
+b Tate, Ellalice, 1906-1993;
‡b Hibbert, Eleanor, 1906-1993
```

Tracings and References-General Information

MARC 21 Format for Authority Data

https://www.loc.gov/marc/authority/adtracing.html

\$w - Control subfield

Up to four character positions (designated as \$w/0, etc.) that indicate whether special instructions apply to the display of the heading in the 4XX or 5XX field

!!! \$w/0 - the FIRST position is position zero !!!

/3 - Reference display

One-character alphabetic code that enables the generation or suppression of a cross reference from a 4XX or 5XX field.

...

c - Reference not displayed, field 663 used ‡w nnnc

Interpreting the Data

MARC 21 Format for Authority Data

https://www.loc.gov/marc/authority/

663 - Complex See Also Reference-Name (NR)

Explanatory text and the headings referred to that are required when relationships exist between an established name and other established names that cannot be adequately conveyed by one or more simple cross references generated from 5XX See Also From Tracing fields.

Interpreting the Data

MARC 21 Format for Authority Data

https://www.loc.gov/marc/authority/

663 - Complex See Also Reference-Name (NR)

- Subfield Codes
- \$a Explanatory text (R)
- \$b Heading referred to (R)

Interpreting the Data

DESCRIPTIVE CATALOGING MANUAL

Z1: NAME AND SERIES AUTHORITY RECORDS

http://www.loc.gov/catdir/cpso/dcmz1.pdf

When only two headings are created for a person, do not use the 663 note technique; connect the names with simple 500 see also references.

NAR for Eleanor Hibbert

1001 Hibbert, Eleanor, ‡d 1906-1993
5001 Plaidy, Jean, ‡d 1906-1993 ‡w nnnc
663 Works by this author are entered under the name used in the item. For a listing of other names search also under: ‡b Plaidy, Jean, 1906-1993

NAR for Philippa Carr

1001 Carr, Philippa, ‡d 1906-1993
5001 Plaidy, Jean, ‡d 1906-1993 ‡w nnnc
663 Works by this author are entered under the name used in the item. For a list of other names used by this author, search also under ‡b Plaidy, Jean, 1906-1993

NAR for Victoria Holt

1001 Holt, Victoria, ‡d 1906-1993
5001 Plaidy, Jean, ‡d 1906-1993 ‡w nnnc
663 Works by this author are entered under the name used in the item. For a list of other names used by this author, search also under ‡b Plaidy, Jean, 1906-1993

Who is Jean Plaidy?

END

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Index

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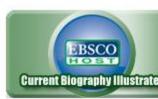
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ι.	see related headings for: Plaid (Firm)	
2.	Plaidy, Jean.	1
3.	Plaidy, Jean, 1906-1993	49
4.	see related headings for: Plaidy, Jean, 1906-1993	
5.	Plaidy, Jean, 1906-1993. Tudor.	1
5.	Plain, Belva	42
7.	Plain, Nancy.	3
в.	Plaisted, Caroline.	2
9.	Plait, Philip C.	3
10.	Plakans, Andrejs.	1
11.	Plakosh, Daniel.	1
12.	Plame, Valerie.	7
13.	Plan International.	1

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"Plaidy, Jean, 1906-1993" search found 49 titles.

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The Italian woman: a Catherine De' Medici novel Plaidy, Jean, 1906-1993.

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7 copies available at CENTREVILLE REGIONAL, CHANTILLY REGIONAL, GEORGE MASON REGIONAL, JOHN MARSHALL, KINGS PARK, and PATRICK

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Search topics related to the author Plaidy, Jean, 1906-1993

Carr, Philippa, 1906-1993

Holt, Victoria, 1906-1993

search also under:

For works of this author entered under other names, search also under Carr, Philippa, 1906-1993, Ford, Elbur, 1906-1993, Holt, Victoria, 1906-1993, Kellow, Kathleen, 1906-1993, Tate, Ellalice, 1906-1993

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author, search also under Plaidy,

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1.





Zipporah's daughter [electronic resource]

Carr, Philippa, 1906-1993. **EBOOK** EBOOK

2013

1 copy available at ZTECHNICAL OPERATIONS in Online



2.



3.



Drop of the dice [electronic resource]

Carr, Philippa, 1906-1993.

EBOOK

EBOOK 2013

1 copy available at ZTECHNICAL OPERATIONS in Online

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Elstand

Lord of the Far Island [Large print ed.]

Holt, Victoria, 1906-1993.



7 copies available at CENTREVILLE REGIONAL, CHANTILLY REGIONAL, CITY OF FAIRFAX REGIONAL, GREAT FALLS, PATRICK HENRY, SHERWOOD REGIONAL, and WOODROW WILSON

2. Place Hold

Niem kieu hanh con cong Holt, Victoria, 1906-1993.

VIE FIC HOL VIE FIC HOL

2004

1 copy available at GEORGE MASON REGIONAL in Shelves

Place Hold

BOOK

Moi thu to lua: tieu thuyet

Holt, Victoria, 1906-1993.

VIE FIC HOL VIE FIC HOL 2004

2 copies available at GEORGE MASON REGIONAL and THOMAS JEFFERSON

Mua trang cua nguoi tho san Diaco Hold Holt, Victoria, 1906-1993.

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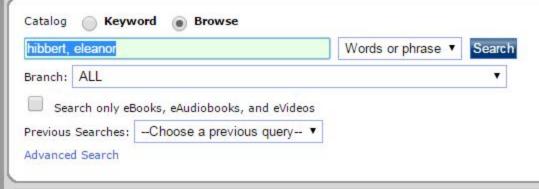
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l .	Hibbert, Clare, 1970-	10
2.	see related headings for: Hibbert, Eleanor, 1906-1993	
3.	Hibbert, Jimmy.	1
1.	Hibbert, Meg.	1
5.	Hibbing, John R.	1
5.	Hibbs, Curt.	1
7.	Hibbs, Henry Horace.	1
3.	Hibbs, Thomas S.	2
).	Hiber, Amanda.	2
10.	Hibino, Shozo, 1940-	1
11.	Hibler, Jane.	1
12.	see related headings for: Hibler, Janie	
13.	Hibner, Holly.	1

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What if I don't select "Author"?

- You will get some results
- The results might not be what you need



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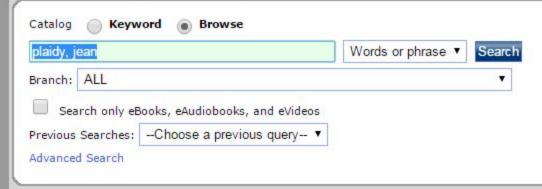
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Catalog Browse by Subject: "plaidy, jean"		
1.	Plaid.	1
2.	see related headings for: Plaidy, Jean, 1906-1993	
3.	Plainfield (N.J.)Biography.	2
4.	PlainsBiography. FarmersGeorgia	1
5.	Plains. ChristmasGeorgia	2
6.	Plains (Ga.)	2
7.	Plains (Ga.)Biography.	3
8.	Plains of Abraham, Battle of the, Quebec, 1759.	1
9.	Plains (Va.)History.	1
10.	Plame, Valerie.	4
11.	Plame, ValerieAudio-visual material.	1
12.	see related headings for: Plan Condor (South American countersubversion association)	
13.	Plan de la ville et environs de Williamsburgen Virginie.	1

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search also under:

For works of this author entered under other names, search also under Carr, Philippa, 1906-1993, Ford, Elbur, 1906-1993, Holt, Victoria, 1906-1993, Kellow, Kathleen, 1906-1993, Tate, Ellalice, 1906-1993

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What if I select "Keyword" instead of "Browse"?

- You will retrieve bibliographic records directly
- You will derive no benefit from authority records



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Words or phrase ""plaidy, jean"" search found 50 titles.

Pages << 1 2 3 >>

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The Italian woman: a Catherine De' Medici novel

Plaidy, Jean, 1906-1993.

FIC PLA

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7 copies available at CENTREVILLE REGIONAL, CHANTILLY REGIONAL, GEORGE MASON REGIONAL, JOHN MARSHALL, KINGS PARK, and PATRICK HENRY

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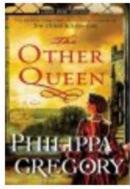
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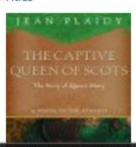
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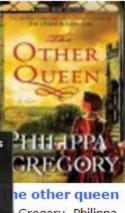
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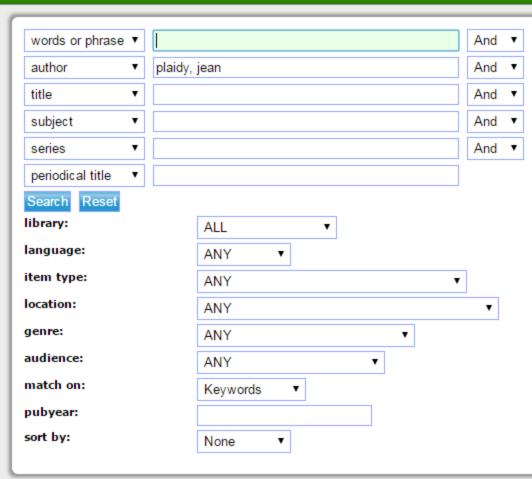
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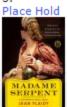
The Italian woman: a Catherine De' Medici novel 1st Touchstone ed.

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PLAIDY, J

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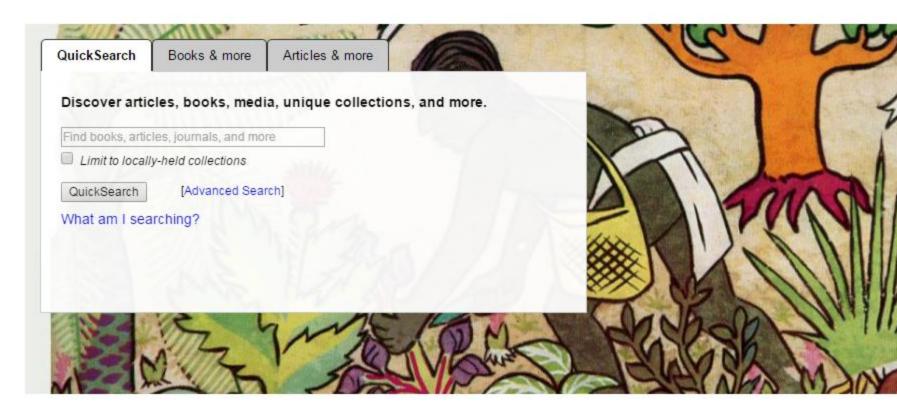
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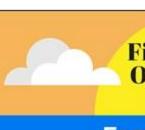
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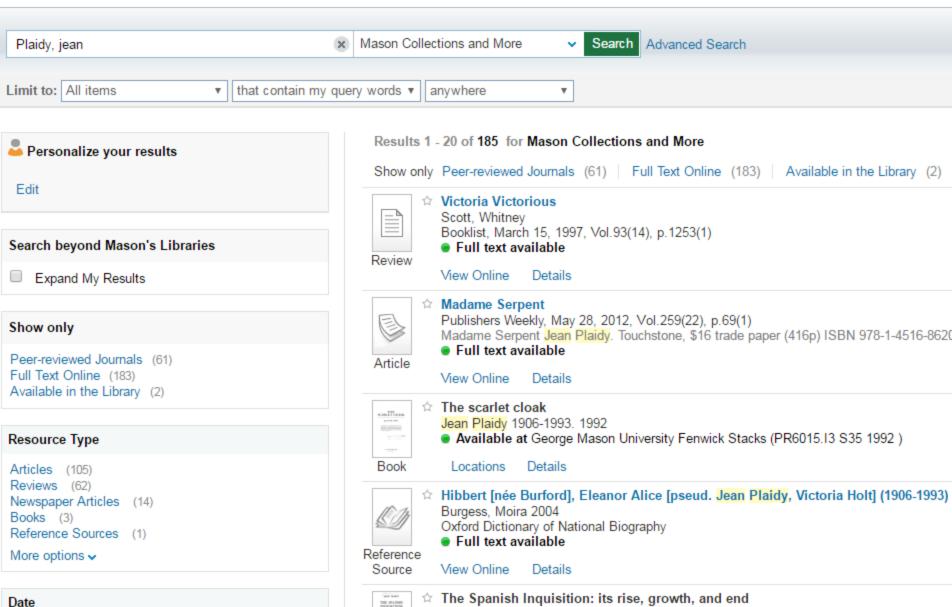
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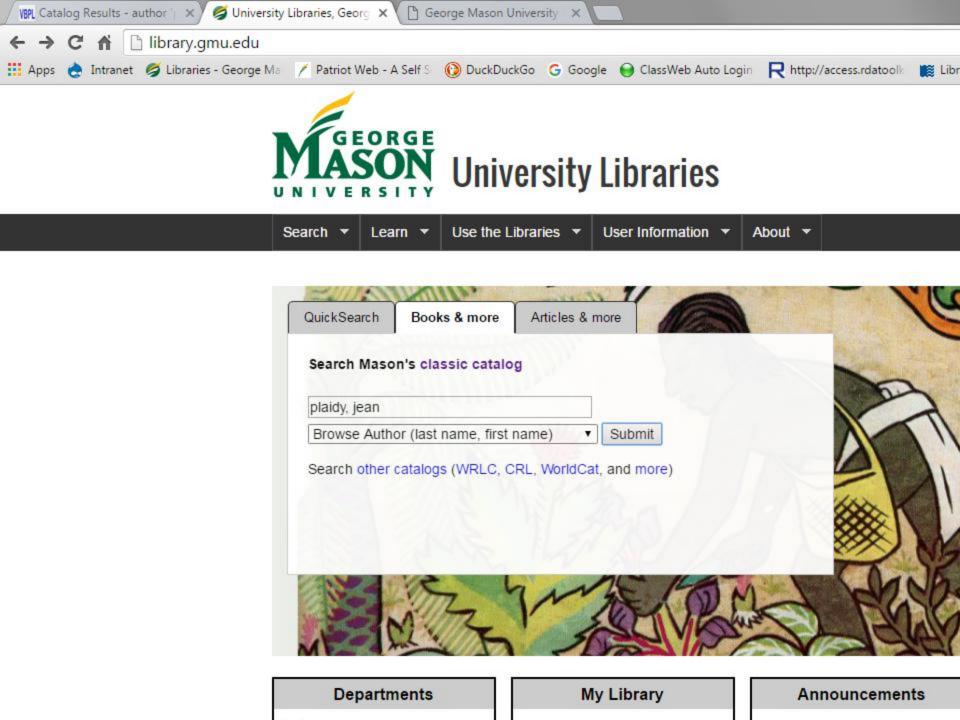
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Titles



Note [1]	2	Plaidy, Jean, 1906-1993
[2]	<u>2</u>	Plaifere, John, d. 1632.
[3]	1	Plailly, Philippe.
[4]	<u>3</u>	Plain, Belva
[5]	1	Plain common freeholder.
[6]	1	Plain Country Curate.
[7]	1	Plain countryman.
[8]	1	Plain Dealer, fl. 1790.
[9]	9	Plain, Gill
[10]	1	Plain Honest Countryman.
[11]	<u>13</u>	Plain Man.
[12]	1	Plain Man, in a Plain Dress.
[_13_]	1	Plain man who wishes well to you and his country.
[_14_]	1	Plain matter of fact man.
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[16]	1	Plain-Reason, Ephraim.





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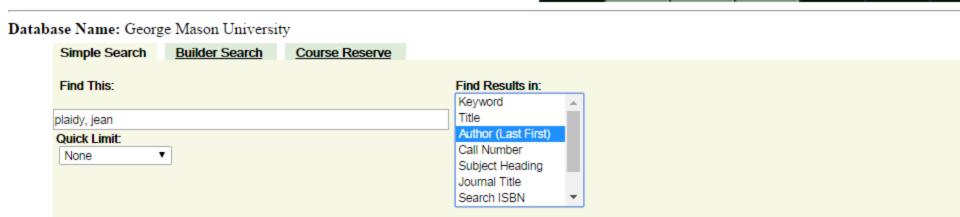
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Author

Last name first: austen jane





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[3]	<u>1</u>	Plailly, Philippe.
[4]	<u>3</u>	Plain, Belva
[5]	<u>1</u>	Plain common freeholder.
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[10]	<u>1</u>	Plain Honest Countryman.
[_11_]	<u>13</u>	Plain Man.
[12]	<u>1</u>	Plain Man, in a Plain Dress.
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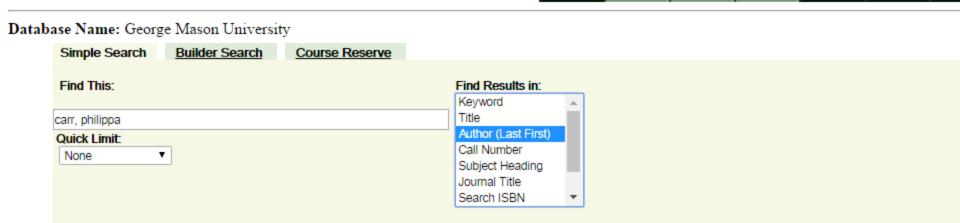
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- Truncation is automatic: educ = educate, education, educator, etc.

Author

Last name first: austen jane





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[3]	<u>1</u>	Carr, R. W. (Richard W.)
[4]	<u>1</u>	Carr, Ralph, of the Middle Temple.
[5]	<u>4</u>	Carr, Raymond.
[6]	<u>1</u>	Carr, Reg, 1946-
[7]	2	Carr, Richard, 1651-1706.
[8]	<u>1</u>	Carr, Richard, 1929-1988.
[9]	<u>1</u>	Carr, Richard, 1954-
[10]	<u>3</u>	Carr, Richard, 1962-
[_11_]	2	Carr, Richard, arithmetician.
[12]	<u>1</u>	Carr, Richard J.
[13]	<u>1</u>	Carr, Richard, Psy.D.
[14]	<u>1</u>	Carr, Richard V. C., 1947-
See also 15	0	Carr, Richard W.





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